

06-26-00

A

PTO/SB/05 (2/98)

Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

06/23/00

JC860 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. 4675-008

First Inventor or Application Identifier

Leonard J. Testa

Title

System For Scheduling Multiple Time Dependent Events

Express Mail Label No.

EL 576728509US

JC860 U.S. PTO

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18]  
(preferred arrangement set forth below)
  - Descriptive title to the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
4. ☒ Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE  
ENTITLED TO PAY SMALL ENTITY FEES, A SMALL  
ENTITY STATEMENT IS REQUIRED (37 C.F.R.  
§ 1.27), EXCEPT IF ONE FILED IN A PRIOR  
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: Group/Art Unit:

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME RHODES &amp; MASON, P.L.L.C.

ADDRESS 1600 First Union Tower  
300 North Greene Street

|         |            |           |              |          |              |
|---------|------------|-----------|--------------|----------|--------------|
| CITY    | Greensboro | STATE     | NC           | ZIP CODE | 27401        |
| COUNTRY | USA        | TELEPHONE | 336-273-4422 | FAX      | 336-271-2830 |

Name (Print/Type) Edward W. Rilee Registration No. (Attorney/Agent) 31,869

Signature *Edward W. Rilee* Date 6/23/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL****for FY 2000**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement.

Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$769.00)

Application Number

Filing Date

First Named Inventor

Leonard J. Testa

Examiner Name

Group/Art Unit

Attorney Docket No.

4675-008

**METHOD OF PAYMENT (check one)**

- ☐ The Commission is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

18-1164

Deposit  
Account  
Name

Rhodes &amp; Mason, P.L.L.C.

- ☐ Charge Any Additional Fee Required  
Under 37 CFR §§1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid          |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-------------------|
| 101                  | 690                   | 201                  | 345                   | Utility filing fee     | 345.00            |
| 106                  | 310                   | 206                  | 155                   | Design filing fee      |                   |
| 107                  | 480                   | 207                  | 240                   | Plant filing fee       |                   |
| 108                  | 690                   | 208                  | 345                   | Reissue filing fee     |                   |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |                   |
| <b>SUBTOTAL (1)</b>  |                       |                      |                       |                        | <b>(\$345.00)</b> |

**2. EXTRA CLAIM FEES**

|                                                                   |                       |                      |                       | Extra Claims                                                 | Fee from<br>below | Fee Paid          |
|-------------------------------------------------------------------|-----------------------|----------------------|-----------------------|--------------------------------------------------------------|-------------------|-------------------|
| Total Claims                                                      | 54                    | -20** =              | 34                    | X                                                            | 9.00              | = 306.00          |
| Independent                                                       | 5                     | -3** =               | 2                     | X                                                            | 39.00             | = 78.00           |
| Claims                                                            |                       |                      |                       |                                                              |                   |                   |
| Multiple Dependent Claims                                         |                       |                      |                       |                                                              |                   |                   |
| ** or number previously paid, if greater; For Reissues, see below |                       |                      |                       |                                                              |                   |                   |
| Large<br>Fee<br>Code                                              | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description                                              |                   |                   |
| 103                                                               | 18                    | 203                  | 9                     | Claims in excess of 20                                       |                   |                   |
| 102                                                               | 78                    | 202                  | 39                    | Independent claims in excess of 3                            |                   |                   |
| 104                                                               | 260                   | 204                  | 130                   | Multiple dependent claim                                     |                   |                   |
| 109                                                               | 78                    | 209                  | 39                    | **Reissue independent claims<br>over original patent         |                   |                   |
| 110                                                               | 18                    | 210                  | 9                     | **Reissue claims in excess of 20<br>and over original patent |                   |                   |
| <b>SUBTOTAL (2)</b>                                               |                       |                      |                       |                                                              |                   | <b>(\$384.00)</b> |

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large<br>Fee<br>Code              | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description                                                               | Fee Paid         |
|-----------------------------------|-----------------------|----------------------|-----------------------|-------------------------------------------------------------------------------|------------------|
| 105                               | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath                                           |                  |
| 127                               | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee<br>or cover sheet                     |                  |
| 139                               | 130                   | 139                  | 130                   | Non-English specification                                                     |                  |
| 147                               | 2,520                 | 147                  | 2,520                 | For filing a request for reexamination                                        |                  |
| 112                               | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior<br>to Examiner action                     |                  |
| 113                               | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after<br>Examination action                     |                  |
| 115                               | 110                   | 215                  | 55                    | Extension for reply within first month                                        |                  |
| 116                               | 380                   | 216                  | 190                   | Extension for reply within second month                                       |                  |
| 117                               | 870                   | 217                  | 435                   | Extension for reply within third month                                        |                  |
| 118                               | 1,360                 | 218                  | 680                   | Extension for reply within fourth month                                       |                  |
| 128                               | 1,850                 | 228                  | 925                   | Extension for reply within fifth month                                        |                  |
| 119                               | 300                   | 219                  | 150                   | Notice of Appeal                                                              |                  |
| 120                               | 300                   | 220                  | 150                   | Filing a brief in support of an appeal                                        |                  |
| 121                               | 260                   | 221                  | 130                   | Request for oral hearing                                                      |                  |
| 138                               | 1,510                 | 138                  | 1,510                 | Petition to institute a public use proceeding                                 |                  |
| 140                               | 110                   | 240                  | 55                    | Petition to revive - unavoidable                                              |                  |
| 141                               | 1,210                 | 241                  | 605                   | Petition to revive - unintentional                                            |                  |
| 142                               | 1,210                 | 242                  | 605                   | Utility issue fee (or reissue)                                                |                  |
| 143                               | 430                   | 243                  | 215                   | Design issue fee                                                              |                  |
| 144                               | 580                   | 244                  | 290                   | Plant issue fee                                                               |                  |
| 122                               | 130                   | 122                  | 130                   | Petitions to the Commissioner                                                 |                  |
| 123                               | 50                    | 123                  | 50                    | Petitions related to provisional applications                                 |                  |
| 126                               | 240                   | 126                  | 240                   | Submission of Information Disclosure Stmt.                                    |                  |
| 581                               | 40                    | 581                  | 40                    | Recording each patent assignment per<br>property (times number of properties) | 40.00            |
| 146                               | 690                   | 246                  | 345                   | Filing a submission after final rejection<br>(37 CFR §1.129(a))               |                  |
| 149                               | 690                   | 249                  | 345                   | For each additional invention to be<br>examined (37 CFR §1.129(b))            |                  |
| Other fee (specify)               |                       |                      |                       |                                                                               |                  |
| Other fee (specify)               |                       |                      |                       |                                                                               |                  |
| *Reduced by Basic Filing Fee Paid |                       |                      |                       |                                                                               |                  |
| <b>SUBTOTAL (3)</b>               |                       |                      |                       |                                                                               | <b>(\$40.00)</b> |

**SUBMITTED BY**

Name (Print/Type)

Edward W. Rile

Registration No.  
(Attorney/Agent)

31,869

**Complete (if applicable)**

Telephone

(336) 273-4422

Signature

Date

6/23/2000

**WARNING:**

Information on this form may become public. Credit card information should not be  
included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount  
of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES  
OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.